



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification<sup>6</sup> :

G02B 6/22

A1

(11) International Publication Number:

WO 99/04298

(43) International Publication Date:

28 January 1999 (28.01.99)

(21) International Application Number: PCT/US98/14095

(22) International Filing Date: 7 July 1998 (07.07.98)

(30) Priority Data:

60/052,616

15 July 1997 (15.07.97)

US

(71) Applicant (for all designated States except US): CORNING INCORPORATED [US/US]; 1 Riverfront Plaza, Corning, NY 14831 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): EVANS, Alan, F. [US/US]; 5090 County Route 41, Beaver Dams, NY 14812 (US). TRUESDALE, Carlton, M. [US/US]; 11922 River Road, Corning, NY 14830 (US).

(74) Agents: HERZFELD, Alexander, R. et al.; Corning Incorporated, Patent Dept., SP FR 02-12, Corning, NY 14831 (US).

(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).

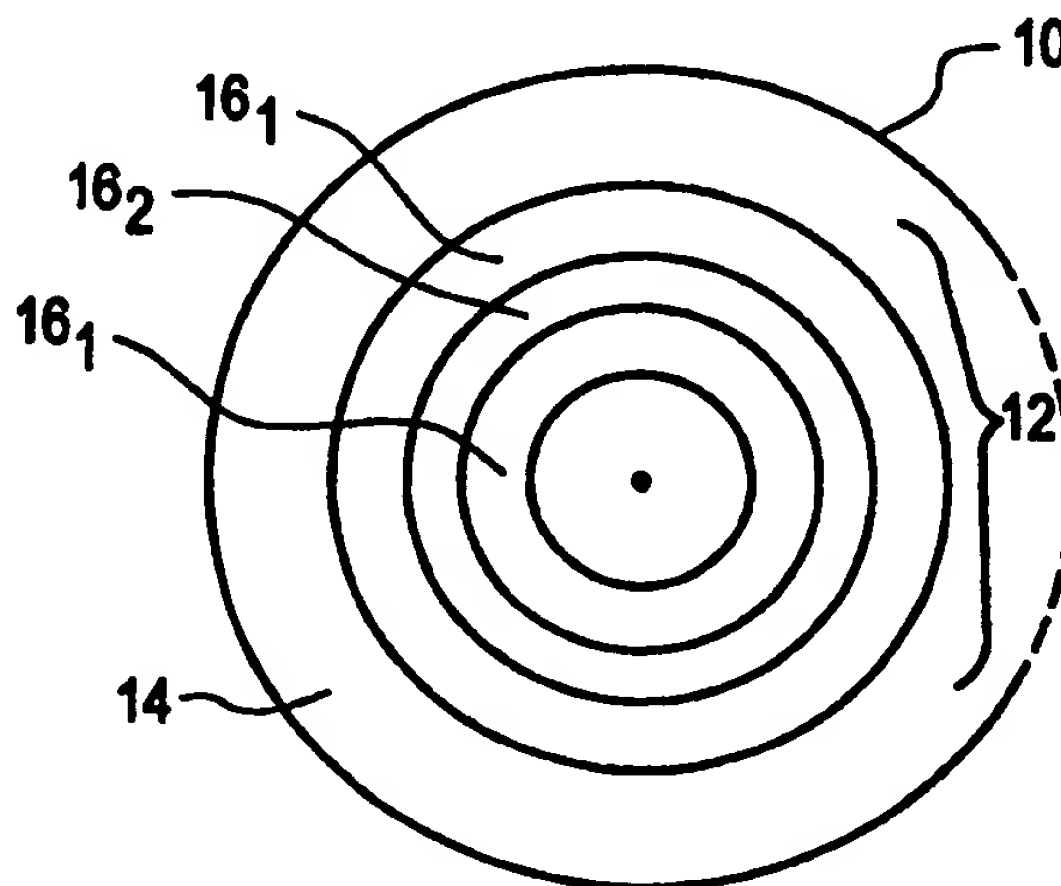
Published

With international search report.

(54) Title: SUPPRESSION OF STIMULATED BRILLOUIN SCATTERING IN OPTICAL FIBER

## (57) Abstract

Suppression of stimulated Brillouin scattering (SBS) by broadening the energy spectrum of participating SBS photons and/or phonons is achieved in an optical fiber having a core with both radially nonuniform viscosity and CTE profiles provided by alternating layers of glass modifying dopants such as phosphorus and fluorine. The nonuniform thermal expansion and viscosity profiles impart a residual, permanent, nonuniform stress in the fiber. The SBS suppressing effect provided by the nonuniform stress can be controlled and enhanced by applying a uniform or nonuniform tensile force to the fiber as it is being drawn. A preform for the fiber is also disclosed.



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

**SUPPRESSION OF STIMULATED BRILLOUIN**  
**SCATTERING IN OPTICAL FIBER**

**Background of the Invention**

5

**Field of the Invention**

This invention generally relates to optical fiber, and particularly relates to optical fiber having increased power handling capacity due to the suppression of stimulated Brillouin scattering (SBS), and to a method for making said fiber and fiber preforms therefore.

10

**Description of Related Art**

15

20

Stimulated Brillouin scattering (SBS), an optical nonlinearity, limits the maximum optical power throughput of optical fiber transmission systems. As input power increases above what is known as the threshold power, the power that can be transmitted along the optical fiber reaches an upper limit. Any additional input power to the fiber scatters in the backward direction due to interaction with acoustic phonons rather than propagating in the forward, launch direction as a higher power signal. Thus SBS, as it is called, reduces the signal to noise ratio at the receiver and can cause the transmitter to become unstable due to the entry of reflected light. Moreover, the increasing use of optical amplifiers, solid state Nd:YAG lasers, and external modulation at ever increasing data rates over longer and longer distances all combine to exacerbate SBS in both digital and CATV applications.

SBS is an interaction of optical photons with acoustic phonons of the glass matrix. Techniques suggested in the literature to increase the threshold power, minimize the detrimental effects of SBS, and increase the power handling capacity of the fiber rely, e.g., on broadening either the photon energy spectrum of the source or the phonon energy spectrum of the glass to reduce the efficiency of the interaction. One reported method proposes changing the refractive index profile along the length of the fiber (axial direction) by varying the background fluorine concentration. Another proposes wrapping the fiber around a central rod to induce stress to change the energy distribution of acoustic phonons. Some disadvantages of changing the index of refraction along the axial direction of the fiber, and tight fiber wrapping, include undesirable changes in other fiber properties and increased fatigue which impacts fiber lifetime. Wada, et al, Suppression of stimulated Brillouin scattering by intentionally induced periodical residual-strain in single-mode optical fibers, in Proceedings of European Conference on Optical Comm., 1991, paper MoB1, propose applying draw tension to induce a periodical residual strain along the fiber length that broadens the phonon energy distribution and reduces the SBS interaction. This reference discloses a step-index fiber having a SiO<sub>2</sub> core and a F-SiO<sub>2</sub> cladding. Because the F-doped cladding has a lower viscosity, the draw tension is mainly applied to the core resulting in a deviation of the residual strain as a function of draw tension. The residual strain broadens the effective gain linewidth of the fiber by continuously shifting the central frequency of the Brillouin gain spectrum. This reference indicates that draw tension-induced SBS suppression in germanium-doped silica core/undoped silica cladding single-mode fiber is negligible. A more recent publication, Headly et al., OFC '97, Paper WL25, Tech. Digest, describes a modest 3 dB increase in threshold power for this type of fiber for optimized draw tension profile and reported maximum allowable draw tension variation.

### Summary of the Invention

Accordingly, the invention is directed to an optical fiber having increased power handling capacity, and to a fiber preform from which such a fiber can be drawn, having a radially nonuniform coefficient of thermal expansion (CTE) and viscosity profile, which imparts a permanent differential stress profile through the visco-elastic and thermal-elastic properties of the resultant glass structure. In turn, the stress profile alters the local density to broaden the range of acoustic energies (or velocities) which acts to suppress SBS and increase the power handling capacity of the fiber. In addition, the invention relates to a method for producing such fiber and preform, and to a method for enhancing the SBS suppression effect of the permanent differential stress in the fiber.

Additional features and advantages of the invention will be set forth in the description which follows, and in part will be apparent from the description, or may be learned by practice of the invention. The objectives and other advantages of the invention will be realized and attained by the apparatus and method particularly pointed out in the written description and claims hereof as well as the appended drawings.

To achieve these and other advantages and in accordance with the purpose of the invention, an embodiment of the invention describes an optical waveguide fiber having increased power handling capacity comprising a core having radially nonuniform CTE and viscosity profiles in a part of the core (hereinafter, the "doped region"), between the center and an outermost region of the core; and a cladding surrounding the outermost region of the core. In an aspect of this embodiment, the part of the core having the radially nonuniform CTE and viscosity profiles includes immediately adjacent annuli of alternating higher and lower CTE's and viscosities provided by dopant layers of different compositions (i.e., providing differing mechanical structure). The fiber has a substantially constant and/or uniform effective refractive index profile along its

propagation axis (i.e., in the axial direction). In another aspect of this embodiment, annular regions (layers) consisting essentially of  $\text{SiO}_2$  doped with  $\text{GeO}_2$ ,  $\text{GeO}_2+\text{P}_2\text{O}_5$ , or  $\text{GeO}_2+\text{F}$ , will exhibit a higher CTE and, in most cases, a lower viscosity, than adjacent layers consisting essentially of  $\text{SiO}_2$  or  $\text{SiO}_2+\text{F}$ .

5 In a further aspect of this embodiment, the doped region is substantially located at a radial distance from the center of the core where the value of the area-averaged optical power peak is a maximum, the peak being proportional to  $|E|^2 r dr$ , where (E) represents the electric field, (r) is the radius of the fiber core, and (dr) is the differential radius.

10

In another embodiment, the invention describes a fiber precursor, referred to hereinafter as a (fiber) preform, from which an optical waveguide fiber having an increased power handling capacity can be drawn. The preform includes a core region having radially nonuniform CTE and viscosity profiles in a part of the core region between the core center and an outermost region of the core (doped region), and a cladding composition surrounding the outermost region of the core.

15

In an aspect of this embodiment, the doped region includes immediately adjacent annular compositional layers of differing CTE's and viscosities provided by selected dopants. The preform has a substantially constant and/or uniform effective refractive index profile in the axial direction. As it is well known to those skilled in the art that the optical and compositional characteristics of a fiber mimic those characteristics of the preform from which it is drawn, the preform core region will likewise have layers of  $\text{SiO}_2$  doped with  $\text{GeO}_2$ ,  $\text{GeO}_2+\text{P}_2\text{O}_5$ , or  $\text{GeO}_2+\text{F}$ , alternating with layers of  $\text{SiO}_2$  or  $\text{SiO}_2+\text{F}$  to provide the radially nonuniform profiles of CTE and viscosity. Although, in each of the embodiments described above, the core modifying dopants include phosphorous and fluorine, other dopants that provide a similar effect will be known to those skilled in the art.

20

25

30

Preferred layer compositions and dopant levels will be dictated by the desired interaction of the thermal-elastic and visco-elastic-induced stress in the fiber. The resultant radial strain,  $\Delta L/L$  (where  $L$  = the thickness of a particular layer) at the interfaces of the alternating layers, should be at least  $> 0.001$ , and preferably  $> 0.002$ , and will be upper bound by the mechanical fatigue of the glass.

A further embodiment of the invention provides a method for making an optical waveguide fiber having increased power handling capacity, involving the steps of providing a fiber preform having a core region with radially nonuniform CTE and viscosity profiles and a substantially uniform refractive index profile in an axial direction; heating an end of the preform to a temperature sufficient to draw fiber therefrom; and drawing fiber therefrom. In an aspect of this embodiment, the method includes applying either a uniform or nonuniform tensile force to the fiber as it is being drawn. The tensile force is in the range of about 25 to 200 gm.

It is to be understood that both the foregoing general description and the following detailed description are exemplary and are intended to provide further explanation of the invention as claimed.

The accompanying drawings are included to provide a further understanding of the invention and are incorporated in and constitute a part of this specification, illustrate embodiments of the invention and together with the description serve to explain the principles of the invention.

### Description of the Drawings

Figure 1 is a schematic cross sectional view of a fiber embodiment of the invention showing the doped layers in the core/cladding region;

Figure 2 shows in graphical form the doped region in an exemplary embodiment of the invention;

Figure 3 schematically shows the radial variation of the stress in an optical fiber with viscosity and CTE mismatched dopant layers due to draw tension according to an embodiment of the invention.

### Detailed Description of a Preferred Embodiment of the Invention

An optical waveguide fiber is disclosed that has radially nonuniform CTE and viscosity profiles which result in a permanent, nonuniform residual stress in the fiber for reducing SBS. A method for making such a fiber and a fiber preform is also disclosed, as well as a method for enhancing the SBS suppression effect in such a fiber as it is being drawn.

Reference will now be made in detail to the present preferred embodiments of the invention, examples of which are illustrated in the accompanying drawings.

Briefly, the continuous wave (CW) stimulated Brillouin scattering threshold power,  $P_{TH}$ , for optical fiber is defined by the expression:

$$P_{TH} = 21A_{eff}/g_b L_{eff} \quad (1)$$

where  $A_{eff}$  is the effective core area,  $L_{eff} = (1 - e^{-\alpha L})/\alpha$  is the effective length where  $L$  is the fiber length and  $\alpha$  is the optical attenuation, and

$g_b(\nu_B) = [2\pi n^7 p_{12}^2]/[c\lambda^2 \rho_o v_a \Delta \nu_B]$  is the gain coefficient or peak value of the Brillouin gain spectrum,  $n$  is the refractive index,  $p_{12}$  is the elasto-optic coefficient,  $c$  is the speed of light,  $\rho_o$  is the material density,  $v_a$  is the acoustic velocity,  $\nu_B$  is the frequency shift of the backscattered Stokes light, and  $\Delta \nu_B$  is the Brillouin spectral linewidth (typically around 35 MHz). Since the growth of the scattered Stokes wave is exponentially dependent on the gain coefficient, and since the gain coefficient is independent of the effective area, fiber length, and attenuation, it is a useful parameter to use to compare SBS in different fibers. Table I shows the gain coefficients for fibers drawn from the same



blank for varied draw tension and cladding diameters. Results are presented for uniform draw tensions of 25 gm, 90 gm and 160 gm, and for variable tensions over what we believe is substantially a maximum draw-process limited range. A rather modest maximum gain difference of 28% between the 90 gm uniform tension fiber (B) and the 25-160 gm linearly increasing tension fiber (D) is reported. The spectrum of the backscattered Stokes wave which, for CW light, is equivalent to the spectral linewidth of the S<sub>BS</sub> gain, is related to the energy distribution of acoustic phonons in the fiber, and provides a further understanding about the relative insensitivity of draw tension on S<sub>BS</sub> gain.

Table II shows the central frequency for 25 gm (A), 90 gm (B) and 160 gm (C) tension dispersion shifted (DS) fiber. The difference in peak frequency between 25 gm and 160 gm is 47 MHz. Using a Brillouin linewidth of 35 MHz for a uniform draw tension fiber, an increase in linewidth to 47 MHz corresponds to a 26% difference in gain coefficient between a uniform tension fiber (e.g., B in Table I) and a 25-160 gm tension fiber (e.g., D in Table I), compared to the measured value of 28%.

TABLE I

Fiber Segment	Diameter, Tension	Mode field Diam ( $\mu\text{m}$ )	$A_{eff} (\mu\text{m}^2) \times 10^{-12}$	$P_{in} (\text{dBm})$	$g_b (\text{m/W}) \times 10^{-11}$	Attenuation (dB/km)	Length (km)
A	125 $\mu\text{m}$ 25 gm	8.41	55.50	8.78	5.57	0.41	5.00
B	125 $\mu\text{m}$ 90 gm	8.33	54.43	8.51	3.96	0.37	5.00
C	125 $\mu\text{m}$ 160 gm	8.13	51.93	8.65	3.64	0.36	5.00
D	125 $\mu\text{m}$ 160 gm and less	8.13	51.94	8.99	2.86	0.39	6.30
E	125 $\mu\text{m}$ up to 160gm	8.37	54.98	8.41	3.42	0.37	6.30
F	140 $\mu\text{m}$	7.78	47.50	9.83	3.68	0.37	3.22
G	140-100 $\mu\text{m}$ 160 gm	8.48	56.43	10.91	3.34	0.37	3.30
H	100 $\mu\text{m}$ 160 gm	9.18	66.13	10.47	3.03	0.35	5.00
I	100-150 $\mu\text{m}$ 160 gm	8.48	56.43	10.18	3.30	0.28	3.90

TABLE II

Fiber Segment	Diameter/Tension	Peak Frequency (Ghz)
A	125 $\mu\text{m}$ /25 gm	12.445
B	125 $\mu\text{m}$ /90 gm	12.423
C	125 $\mu\text{m}$ /160 gm	12.398

15

In light of the foregoing observations, there is a recognized need for a method for more effectively suppressing SBS in an optical fiber, and for a fiber and a precursor thereof (e.g., fiber preform) that respond more favorably to SBS suppression techniques.

20

The resultant stress in the fiber is directly proportional the strain where the proportionality constant is known as the elastic modulus. This stress alters the local material density which changes the acoustic velocity to shift the Brillouin frequency (see Hibino, et al, Evaluation of residual stress and viscosity in SiO<sub>2</sub>/F-SiO<sub>2</sub> clad single-mode optical fibers from Brillouin gain spectra, J. Applied Physics, 66 (9), pp. 4049-4052, (1989)). If there is a range in the magnitude of the strain,  $\Delta L/L$ , as envisioned with layers of alternating mechanical properties, then there will be an effective broadening of the Brillouin linewidth instead of merely a frequency shift. As seen from Equation (1) above, the threshold power is directly proportional to the Brillouin spectra linewidth. Hence, a broadening from the linewidth from 35 MHz to 70 MHz will increase the threshold power by 2 times (3 dB). A residual strain of at least 0.001, and preferably  $> 0.002$  within the optical carrying cross-section of the

25

30

fiber will achieve these results. For maximum benefit, we believe the strain should be distributed throughout the area-averaged optical power peak.

Stress becomes introduced into the glass matrix during fiber processing.

- 5 There is a thermal expansion mismatch ( $\Delta\text{CTE}$ ) after the glass is consolidated from the deposited soot on the preform. If neighboring annular regions of material have different coefficients of thermal expansion, then they contract by different amounts as the glass cools down. The difference in volumetric compression,  $\Delta V/V$ , results in stress via the bulk modulus of elasticity of the
- 10 material. This in turn sets up a radial strain,  $\Delta L/L$ , throughout the core region of the waveguide. The magnitude of the strain is proportional to the linear expansion coefficient and the temperature difference between the glass when it sets up and can support stress, and room temperature. For fused silica waveguides, stress begins to freeze in at a viscosity below approximately  $10^{12}$
- 15 poises; i.e., at approximately 1300 °C. For an exemplary target strain of 0.2%, this implies a thermal expansion coefficient mismatch between adjacent layers of approximately  $5 \times 10^{-7}/^\circ\text{C}$ . This in turn defines the minimum dopant concentration to achieve this CTE mismatch. For example, the CTE of fused silica is  $5 \times 10^{-7}/^\circ\text{C}$ . Doping the silica with about 5 mole %  $\text{GeO}_2$ , or about 3
- 20 mole %  $\text{P}_2\text{O}_5$ , increases the CTE to  $10 \times 10^{-7}/^\circ\text{C}$ . The CTE dependence on fluorine doping is nonlinear with concentration but reaches a minimum CTE of about  $2.5 \times 10^{-7}/^\circ\text{C}$  at about 5 mole % concentration. The minimum dopant concentration levels needed for a strain that will significantly alter the SBS threshold power are within the range of values possible based on other
- 25 processing considerations well known to those skilled in the art. Table III presents doped region layer compositions and dopant values (in weight %) for the higher and lower CTE layers, respectively, according to an embodiment of the invention.

TABLE III

5	Higher CTE Layers			Lower CTE Layers		
	A	B	C	D	E	
	SiO <sub>2</sub>	57-92	58-92	60-92	100	98-100
	GeO <sub>2</sub>	8-40	8-40	8-40	0	0
	P <sub>2</sub> O <sub>5</sub>	0-3	0	0	0	0
	F <sub>2</sub>	0	0-2	0	0	0-2

As the fiber is drawn down to its final diameter, it leaves the furnace and undergoes large temperature gradients and rapid cooling. This quenching freezes additional stress into the glass matrix. The magnitude of the stress is dependent on the draw speed and hence the cooling rate. An additional stress component comes from the fiber draw tension which is typically between about 25 to 200 gm. If, e.g., the core glass has a higher viscosity than the cladding, then it hardens and sets up faster. It elastically carries the load of the draw tension until the cladding glass sets up. Once the load is released, the cladding will force the core into a compressional state. This is shown schematically in Figure 3. A fiber with a SiO<sub>2</sub> core and a fluorine-doped SiO<sub>2</sub> cladding exhibits a more significant SBS effect from draw tension than a GeO<sub>2</sub>+SiO<sub>2</sub> core/ SiO<sub>2</sub> cladding fiber. Multiple annular layers of varying dopant compositions according to the invention will allow the higher viscosity layers to support the load of draw tension. Once the load is released, the inter-adjacent lower viscosity layers will be compressed. Hence, multiple layers of alternating mechanical structure not only provide for reduced SBS under variable draw tension conditions, but also under a constant draw tension, which is a process that is easier to control.

In a preferred embodiment of the invention as shown in cross section in Fig. 1, an optical waveguide fiber 10 having an increased power handling capacity

comprises a core region 12, typically germanosilicate or germano-alumina silicate, a cladding region 14 surrounding the core region 12, and at least two immediately adjacent dopant layers 16<sub>1</sub>, 16<sub>2</sub>, having different CTE and viscosity values, respectively. Dopant layer 16<sub>1</sub> preferably has a higher CTE and a generally lower viscosity, provided by a composition selected from the group consisting essentially of SiO<sub>2</sub> + GeO<sub>2</sub> + P<sub>2</sub>O<sub>5</sub>, SiO<sub>2</sub> + GeO<sub>2</sub> + F<sub>2</sub>, and SiO<sub>2</sub> + GeO<sub>2</sub>; while dopant layer 16<sub>2</sub> preferably has a lower CTE and generally higher viscosity provided by a composition selected from the group consisting essentially of SiO<sub>2</sub>, and SiO<sub>2</sub> + F<sub>2</sub>. These alternating dopant layers impart radially nonuniform viscosity and thermal expansion profiles to the fiber in the doped region, which provide the fiber with a permanent, residual, nonuniform stress. The doped region is preferably located at a radial distance from the core center in the region of the area-averaged optical power peak; i.e., where  $[|E|^2 r dr]$  is a maximum, where (E) represents the electric field, (r) is the radius of the core, and (dr) is the differential radius. This is illustrated in Fig. 2 which shows a plot of viscosity (and CTE) as a function of fiber radius. Reference numeral 22 represents the area averaged electric field, E, and 16<sub>n</sub> represents regions of higher and lower viscosity and/or thermal expansion.

The layers of different dopant compositions preferably will not affect the waveguiding properties of the fiber, achieved by careful choice of the dopants and the layer thicknesses. The dopants can be chosen such that they change the thermal-elastic and visco-elastic properties of the glass but have a minimal effect on the refractive index. Dopants are preferably selected that change the base silica refractive index in equal but opposite signs. The layer thicknesses in the fiber should be less than the wavelength of light (nominally 1.5 μm) and preferably in the range from about 0.05 - 0.5 μm. The interface between the alternating layers 16<sub>1</sub> and 16<sub>2</sub> will preferably have a residual strain,  $\Delta L/L$ ,  $\geq 0.002$ .

The viscosity and CTE modifying dopant layers create a nonuniform radial stress profile, but do not modify the axial refractive index. Advantageously, the resultant stress profile broadens the energy spectrum at every cross-sectional location along the fiber. Since optical properties do not change along the axial direction of the fiber, any length will have the SBS suppression properties built-in. Thus, e.g., issues of fiber management, i.e., type and order within a transmission link, are reduced. Variable draw tension along the length of the fiber will also serve to enhance the SBS suppression property.

- 10 During preform making, the glass soot can be consolidated on a pass by pass basis preferably using either the well known plasma (MCVD) or inside chemical vapor deposition processes which provide for sintering of the glass during laydown. This reduces dopant inter-diffusion and provides that the dopant layers remain mechanically distinct from their adjacent neighbors.
- 15 Alternatively, the layers created in the glass preform before fiber draw down can be made thick. The thickness of the layers can be tailored such that the average radial refractive index profile meets a particular optical design although the local refractive index within the individual layers may be different. Thinner layers within the fiber also increase the residual strain created by
- 20 tension variations. Equation (1) of Wada, *et al*, Suppression of stimulated Brillouin scattering by intentionally induced periodical residual-strain in single-mode optical fibers, in Proceedings of European Conference on Optical Comm., 1991, paper MoB1, can be used to determine the layer cross sectional dependence on draw tension deviation,  $\delta F$ . That paper, however, considers
- 25 the core and cladding cross-sectional areas as a whole. In the present invention, the cross-sectional areas can be generalized to be that of the individual annuli. Assuming equal layer area cross sections,  $A$ , and equal Young's moduli,  $E$ , the equation for strain,  $\delta e$ , reduces to

$\delta e = \delta F(1 + \eta_2 A / \eta_1 A)^{-1} / 2AE$  where  $\eta_{2,1}$  are the viscosities of two adjacent layers. Smaller cross sectional area,  $A$ , means larger strain deviation,  $\delta e$ , for a given tension variation,  $\delta F$ . This relationship continues to hold for unequal cross sections and Young's moduli.

5

During the fiber drawing process, fiber deformation creates a longitudinal strain and corresponding stress along the pulling direction. The ratio of stress to strain is referred to as the elastic modulus. If the cross sectional area, draw tension, viscosity, and glass material modulus are uniform, the resultant stress  
10 will be uniform. However, in an embodiment of the invention as shown in Fig. 3, residual, permanent, tensile or compressive forces are set up in the doped region due to the nonuniform glass modulus, cross sectional area and/or viscosity between the rings provided by the dopant layers. In such a viscosity mismatched fiber, the phonon energy spectrum becomes broadened and the  
15 SBS threshold power increases. The effect of the radially nonuniform viscosity profile on SBS suppression is enhanced by the location of the doped region in the composite fiber structure. The radial location most sensitive to index perturbations is also most preferable for the location of the viscosity-induced residual stress. As it is well understood by those skilled in the art, the  
20 refractive index profile of an optical fiber drawn from a preform mimics the refractive index profile of the precursor.

In another embodiment, the invention provides a method for making an optical fiber waveguide having an increased power handling capacity, comprising the  
25 steps of making a fiber preform from which a clad fiber can be drawn that includes a core region having radially nonuniform thermal expansion and viscosity profiles, and heating the preform in a fiber drawing furnace and drawing fiber therefrom while applying a tensile force to the fiber as it is being drawn. Techniques for making preform and drawing optical fiber therefrom are

well known to those skilled in the art and require no further discussion. The effects of both uniform and nonuniform tensile forces can be seen from Table I which shows the gain coefficients for fibers drawn from the same blank for varied draw tension and cladding diameters. Uniform draw tensions of 25 gm, 5 90 gm and 160 gm, and variable tensions from 25 gm to 160 gm are reported. Table II shows the central frequency for 25 gm (A), 90 gm (B) and 160 gm (C) tension dispersion shifted (DS) fiber. The difference in peak frequency between 25 gm and 160 gm is 47 MHz. Using a Brillouin linewidth of 35 MHz for a uniform draw tension fiber, an increase in linewidth to 47 MHz 10 corresponds to a 26% difference in gain coefficient between a uniform tension fiber (e.g., B in Table I) and a 25-160 gm tension fiber (e.g., D in Table I).

It will be apparent to those skilled in the art that various modifications and variations can be made in the apparatus and method of the present invention 15 without departing from the spirit or scope of the invention. Thus, it is intended that the present invention cover the modifications and variations of this invention provided they come within the scope of the appended claims and their equivalents.



**We claim:**

1. An optical waveguide fiber having increased power handling capacity, comprising:
  - a core region including a doped region consisting essentially of at least one first annular compositional layer having a CTE and a viscosity that are substantially uniform in an axial direction, and at least one second annular compositional layer immediately adjacent said first layer having a different CTE and a different viscosity than said first layer that are substantially uniform in an axial direction; and
  - a cladding region surrounding an outermost part of said core region, whereby the fiber exhibits a permanent radially nonuniform CTE and viscosity profile.
2. The fiber of Claim 1 wherein said first and second layers form a continuous plurality of alternating first and second layers in said doped region.
3. The fiber of claim 1 wherein said doped region is substantially located at a radial distance from the center of the core where an area-averaged optical power peak, proportional to  $|E|^2 r dr$ , has a maximum value, where (E) represents an electric field value, (r) is the radius of the core, and (dr) is the differential radius.
4. The fiber of claim 1 wherein the first layer is selected from a group consisting essentially of:
  - $\text{SiO}_2 + \text{GeO}_2 + \text{P}_2\text{O}_5$ ;
  - $\text{SiO}_2 + \text{GeO}_2 + \text{F}_2$ ; and
  - $\text{SiO}_2 + \text{GeO}_2$ ; and
 the second layer is selected from a group consisting essentially of:
  - $\text{SiO}_2$ ; and
  - $\text{SiO}_2 + \text{F}_2$ .

5. The fiber of claim 4 wherein the composition consisting essentially of  $\text{SiO}_2 + \text{GeO}_2 + \text{P}_2\text{O}_5$  consists essentially (in weight %) of (57-92)  $\text{SiO}_2$ , (8-40)  $\text{GeO}_2$ , and (0-3)  $\text{P}_2\text{O}_5$ ; the composition consisting essentially of  $\text{SiO}_2 + \text{GeO}_2 + \text{F}_2$  consists essentially (in weight %) of (58-92)  $\text{SiO}_2$ , (8-40)  $\text{GeO}_2$ , and (0-2)  $\text{F}_2$ ;  
5 the composition consisting essentially of  $\text{SiO}_2 + \text{GeO}_2$  consists essentially (in weight %) of (60-92)  $\text{SiO}_2$  and (8-40)  $\text{GeO}_2$ ; and, the composition consisting essentially of  $\text{SiO}_2 + \text{F}_2$  consists essentially (in weight %) of (98-100)  $\text{SiO}_2$  and (0-2)  $\text{F}_2$ .
- 10 6. The fiber of claim 1 wherein the first layers have a higher CTE and a lower viscosity than the second layers.
7. The fiber of claim 1 wherein the first and second layers each have a thickness between about 0.05 - 0.5  $\mu\text{m}$ .
- 15 8. The fiber of claim 1 having a residual strain,  $\Delta L/L$ , of at least 0.001 at an interface of the first and second layer.
9. The fiber of claim 1 having a residual strain,  $\Delta L/L$ , greater than 0.002 at  
20 an interface of the first and second layer and less than a value exceeding a mechanical failure of the fiber.
10. An optical waveguide fiber preform from which an optical waveguide  
25 fiber having an increased power handling capacity can be drawn, comprising:  
a core region including a doped region including at least one first annular compositional layer having a CTE and a viscosity that is substantially uniform in an axial direction, and at least one second annular compositional layer immediately adjacent said first layer having a CTE and a viscosity

different from said first layer that is substantially uniform in an axial direction;  
and

a cladding region surrounding an outermost part of said core region,  
whereby the preform exhibits a radially nonuniform CTE and viscosity profile.

5

11. The preform of Claim 10 wherein said first and second layers form a  
continuous plurality of alternating layers in said doped region.

12. The preform of claim 10 wherein the first layer is selected from a group  
10 consisting essentially of:

$\text{SiO}_2 + \text{GeO}_2 + \text{P}_2\text{O}_5$ ;  
 $\text{SiO}_2 + \text{GeO}_2 + \text{F}_2$ ; and  
 $\text{SiO}_2 + \text{GeO}_2$ ; and

the second layer is selected from a group consisting essentially of:

15  $\text{SiO}_2$ ; and  
 $\text{SiO}_2 + \text{F}_2$ .

13. The preform of claim 12 wherein the composition consisting essentially  
of  $\text{SiO}_2 + \text{GeO}_2 + \text{P}_2\text{O}_5$  consists essentially (in weight %) of (57-92)  $\text{SiO}_2$ , (8-40)  
20  $\text{GeO}_2$ , and (0-3)  $\text{P}_2\text{O}_5$ ; the composition consisting essentially of  $\text{SiO}_2 + \text{GeO}_2 +$   
 $\text{F}_2$  consists essentially (in weight %) of (58-92)  $\text{SiO}_2$ , (8-40)  $\text{GeO}_2$ , and (0-2)  $\text{F}_2$ ;  
the composition consisting essentially of  $\text{SiO}_2 + \text{GeO}_2$  consists essentially (in  
weight %) of (60-92)  $\text{SiO}_2$  and (8-40)  $\text{GeO}_2$ ; and, the composition consisting  
essentially of  $\text{SiO}_2 + \text{F}_2$  consists essentially (in weight %) of (98-100)  $\text{SiO}_2$  and  
25 (0-2)  $\text{F}_2$ .

14. A method for making an optical waveguide fiber having increased power  
handling capacity, comprising the steps of:

providing a fiber preform including a core region having both a radially nonuniform thermal expansion profile and viscosity profile and a substantially uniform refractive index profile in an axial direction;

heating an end of the preform to a temperature sufficient to draw fiber  
5 therefrom; and  
drawing fiber therefrom.

15. The method of claim 14 further comprising applying a tensile force to the fiber as it is being drawn.

10

16. The method of claim 15 wherein the step of applying a tensile force to the fiber includes applying one of a uniform tension and a nonuniform tension.

17. The method of claim 15 wherein the tensile force is between about 25  
15 gm to 200 gm.

18. An optical waveguide fiber having an increased power handling capacity, comprising:

a core having both a radially nonuniform CTE profile and viscosity  
20 profile in a part of said core intermediate a center and an outermost region of the core; and

a cladding surrounding the outermost region of the core.

19. The fiber of claim 18 wherein the radially nonuniform part of the core is  
25 located at a radial distance from the center of the core where an area-averaged optical power peak, proportional to  $|E|^2 r dr$ , has a maximum value, where (E) represents an electric field value, (r) is the radius of the core, and (dr) is the differential radius.

20. The fiber of claim 18 wherein the radially nonuniform part of the core includes at least one annular layer having a composition selected from a group consisting essentially of:

$\text{SiO}_2 + \text{GeO}_2 + \text{P}_2\text{O}_5$ ;

5  $\text{SiO}_2 + \text{GeO}_2 + \text{F}_2$ ; and

$\text{SiO}_2 + \text{GeO}_2$ ; and

at least one immediately adjacent annular layer having a composition selected from a group consisting essentially of:

$\text{SiO}_2$ ; and

10  $\text{SiO}_2 + \text{F}_2$ .

FIG. 1

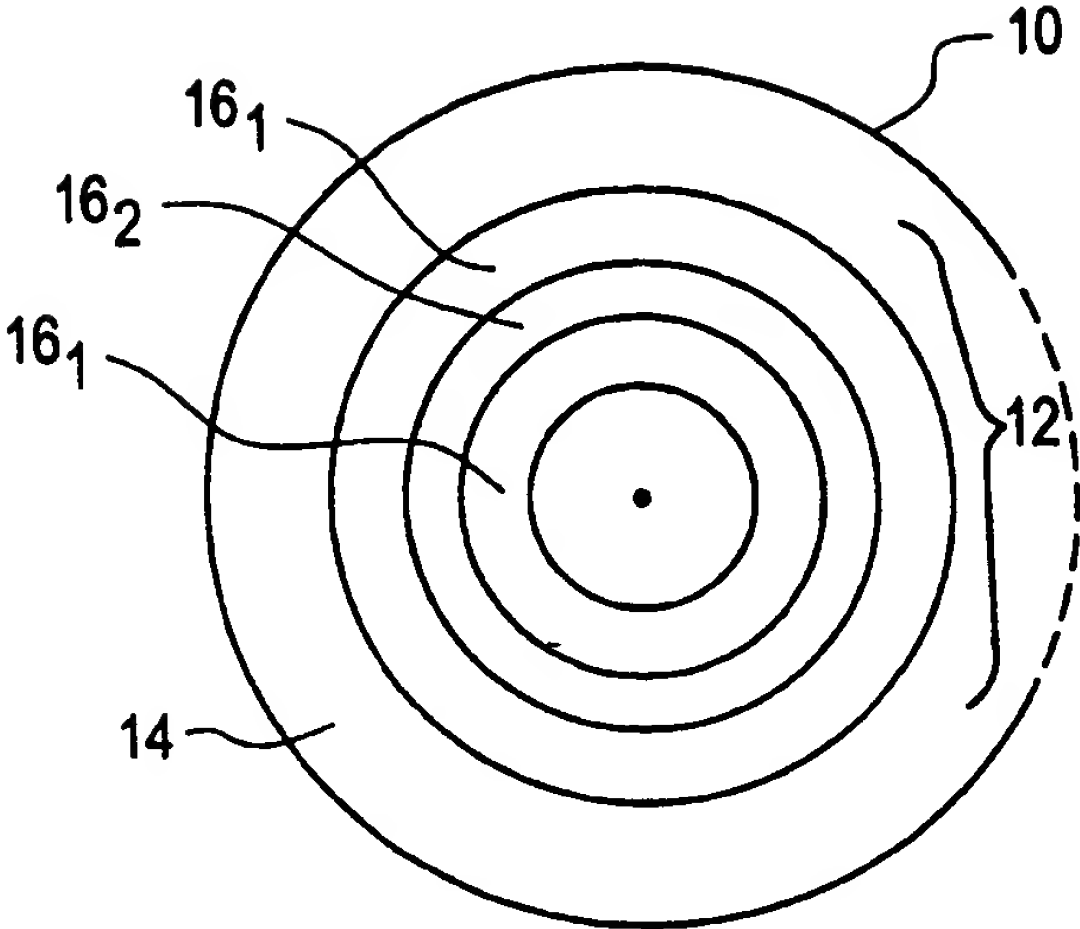


FIG. 2

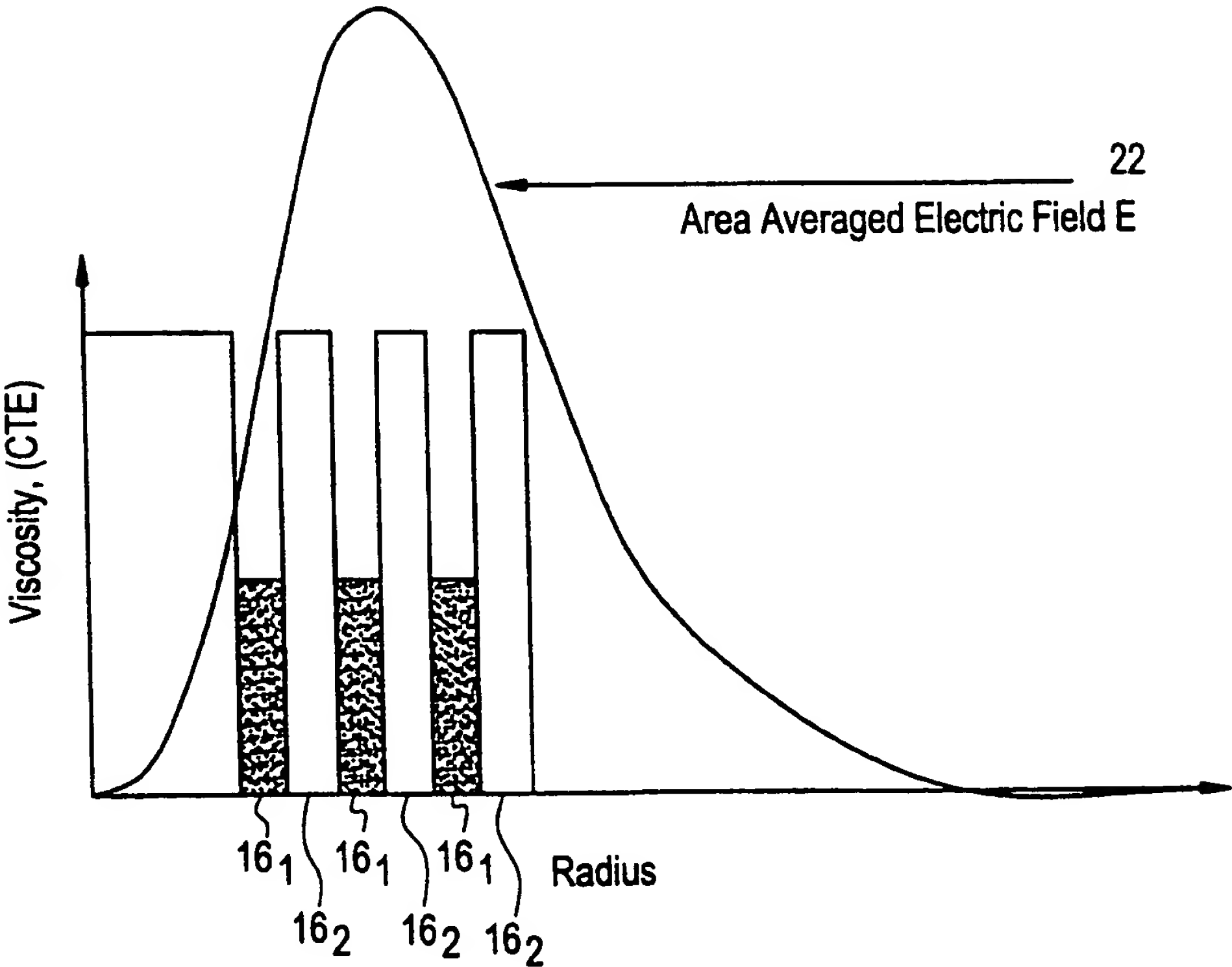
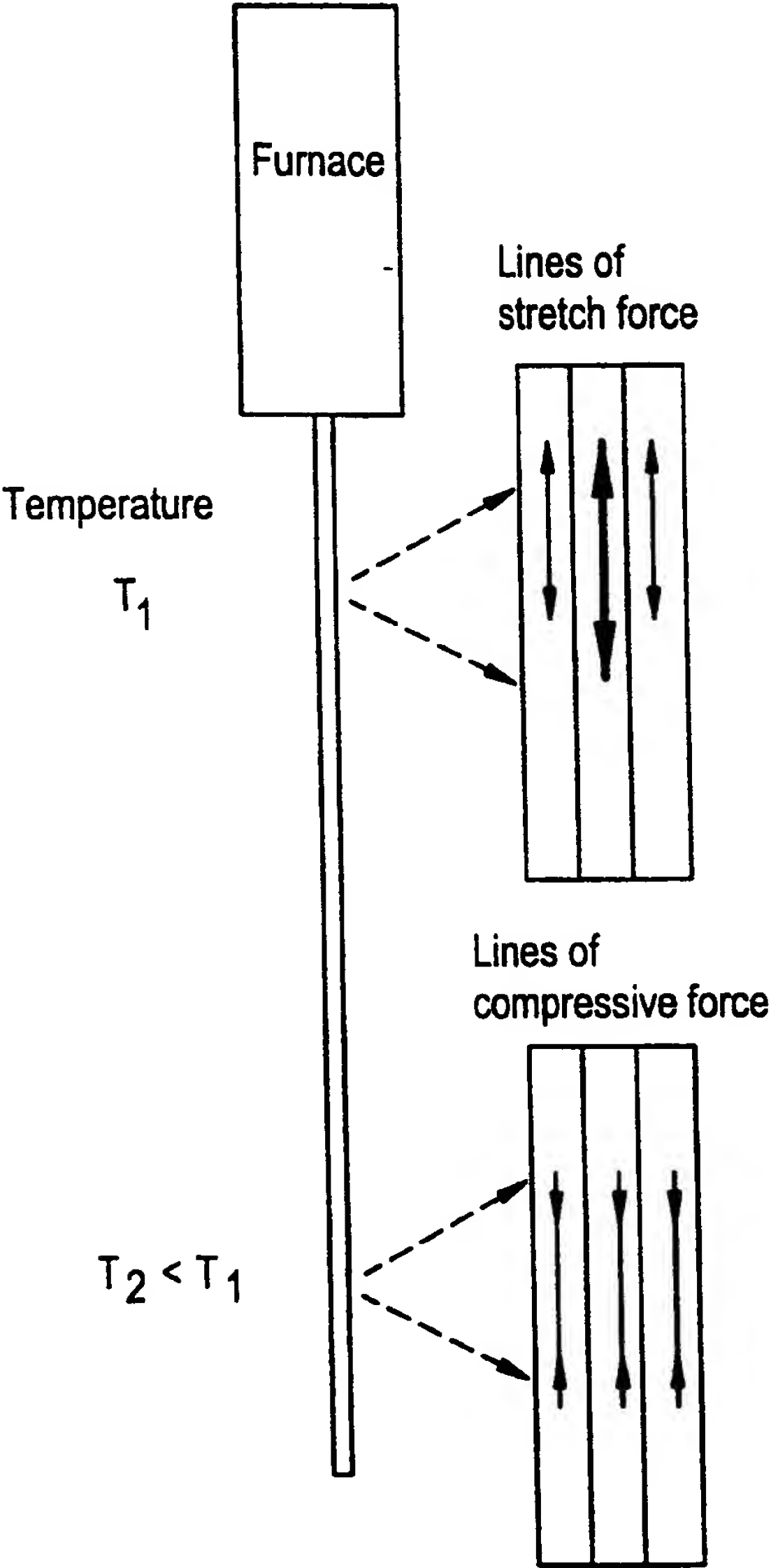


FIG. 3



# PATENT COOPERATION TREATY

## PCT

From the INTERNATIONAL SEARCHING AUTHORITY

### NOTIFICATION OF RECEIPT OF SEARCH COPY

(PCT Rule 25.1)

To:

CORNING Incorporated  
Patent Department  
Attn. HERZFELD, A.  
SP FR 02 12  
CORNING, NY 14831  
UNITED STATES OF AMERICA

Date of mailing  
(day/month/year)

21/08/1998

Applicant's or agent's file reference

Evans 8 - 15

#### IMPORTANT NOTIFICATION

International application No.

PCT/US 98/ 14095

International filing date (day/month/year)

07/07/1998

Priority date (day/month/year)

15/07/1997

Applicant

CORNING INCORPORATED et al.

1. **Where the International Searching Authority and the Receiving Office are not the same office:**

The applicant is hereby notified that the search copy of the international application was received by this International Searching Authority on the date indicated below.

**Where the International Searching Authority and the Receiving Office are the same office:**

The applicant is hereby notified that the search copy of the international application was received on the date indicated below.

06/08/1998

(date of receipt).

2. ☐ The search copy was accompanied by a diskette containing nucleotide and/or amino acid sequence listings.

3. **Time limit for establishment of International Search Report**

The applicant is informed that the time limit for establishing the International Search Report is 3 months from the date of receipt indicated above or 9 months from the priority date, whichever time limit expires later

4. A copy of this notification has been sent to the International Bureau and, where the first sentence of paragraph 1 applies, to the Receiving Office.

Name and mailing address of the International Searching Authority



European Patent Office, P.B. 5818 Patentlaan 2  
NL-2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

ISA/EP



A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 G02B6/22

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 G02B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 319 319 A (NIPPON TELEGRAPH & TELEPHONE) 7 June 1989 see abstract; figures 4A, 17 see column 3, line 2 - line 4 see column 3, line 39 - line 48 see column 15, line 14 - line 27 see column 16, line 29 - line 42 see column 18, line 18 - line 26 ---	1, 2, 4-6, 10-18, 20
A	AKIRA WADA: "SUPPRESSION OF STIMULATED BRILLOUIN SCATTERING BY INTENTIONALLY INDUCED PERIODICAL RESIDUAL-STRAIN IN SINGLE-MODE OPTICAL FIBERS" IEICE TRANSACTIONS ON COMMUNICATIONS, vol. E76-B, no. 4, 1 April 1993, pages 345-350, XP000378264 see the whole document ---	1, 10, 14-18

-/--

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

## \* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

13 October 1998

Date of mailing of the international search report

23/10/1998

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Jakober, F

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 540 042 A (NIPPON TELEGRAPH & TELEPHONE) 5 May 1993  see abstract see claims 1,2 see column 3, line 3 - line 44 ---	1,2,4,5, 10-14, 18,20
A	EP 0 518 749 A (FUJIKURA LTD) 16 December 1992 see abstract; figures 2,3,7 see page 3, line 43 - line 49 see page 4, line 31 - line 37 see page 4, line 55 - page 5, line 5 ---	1,2,4,5, 10-18,20
A	HEADLEY C, CLAYTON J B, REED W A: "METHODS OF SUPPRESSING STIMULATED BRILLOUIN SCATTERING IN OPTICAL FIBERS BY MANIPULATION OF THE FIBER PROPERTIES" PROCEEDINGS OF SYMPOSIUM ON OPTICAL FIBER MEASUREMENTS, 1 - 3 October 1996, pages 105-110, XP002080451 BOULDER, CO, USA see the whole document -----	1,10,14, 18